

**Method and apparatus for searching a database in two  
search steps**

The present invention relates to a method for searching  
5 a database on a disk storage medium, particularly a CD-  
ROM or DVD-ROM. The present invention also relates to a  
corresponding apparatus for searching a database.

Database systems normally access a fixed or dynamic  
10 stock of data. This stock of data is normally stored on  
a hard disk. Sometimes, the data are also stored in a  
ROM, as is the case with T9 voice databases for mobile  
telephones. In addition, it is known practice for  
telephone books, for example, to be stored on CD- or  
15 DVD-ROMs.

Currently, however, dynamic databases are not stored on  
optical media. The reason for this is the relatively  
long skip times for the limited number of rewrite  
20 cycles on an optical medium in comparison with a hard  
disk. Complex search queries are therefore very time-  
consuming on optical media.

The object of the present invention is therefore to  
25 optimize the searching of databases, particularly on  
optical media.

The invention achieves this object by means of a method  
for searching a database on a disk storage medium by  
30 executing a first search step which is used to scan the  
entire database on the disk storage medium, providing  
an intermediate result from the first search step,  
executing a second search step in the intermediate  
result from the first search step, and providing an end  
35 result from the second search step.

The invention also provides an apparatus for searching

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a database on a disk storage medium having a search device for executing a first search step which can be used to scan the entire database on the disk storage medium, and a memory device for storing and providing  
5 an intermediate result from the first search step, where the search device is also designed to execute a second search step in the intermediate result from the first search step and to provide an end result from the second search step.

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The invention is based on the concept of the search requiring as few skips as possible to be made by the read head on the disk storage medium, particularly the optical disk. This allows the search time to be  
15 minimized significantly, since thorough search operations for refining the first search step no longer require recourse to the disk, but rather access to a fast memory device is possible.

20 Preferably, the processing speed for the data in the first search step is at least as high as the read-in speed for the data. This can be achieved by matching the search depth to the read-in speed. This means that the read operation on the disk during the first search  
25 step is not interrupted and there is no need for a time-consuming return skip.

The first search step may involve just a pattern search (pattern match) being performed. The pattern search can  
30 be executed very quickly in contrast to computation-intensive comparison operations, for example. If an index list is used for searching, it is advantageous if the first search step involves skipping to the search locations in descending or ascending order on the basis  
35 of sorting exclusively according to sector numbers. This measure also allows the average skip distance to be reduced.

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The intermediate result obtained during the first search step may comprise one or more subresults which are respectively searched in the second search step. This means that, by way of example, the first search  
5 step can deliver individual subtrees which are thinned in the second search step according to specific elements.

In one preferred variant, the database is dynamic and  
10 is available in fragmented form, with the individual fragments being read in successively and a read head skipping exclusively in one direction between the fragments. This likewise prevents the number of skips from exceeding a requisite minimum. In particular, this  
15 also minimizes the skip distance, since the skips are made only in one direction.

For security reasons, the data on the disk storage medium are stored in ECC (Error Correction Code)  
20 blocks. It is all the more important in that case that the number of skips is reduced, since the ECC blocks always have to be read in full and a skip on the disk normally requires a subsequent movement to the start of a block.

25 Preferably, as already indicated, the disk storage medium is an optical disk, such as a CD or DVD. In the case of these optical disks, where the read head is moved very slowly as compared with hard disks, the  
30 inventive method can expect the greatest return.

The present invention will now be explained in more detail with reference to the appended drawing, which shows a basic sketch of the inventive method.

35 The exemplary embodiment outlined in more detail below is a preferred embodiment of the present invention.

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The invention achieves a full search of a database on a disk by virtue of a first process (thread) making a full search through the stock of data and, in so doing, performing a (from the point of view of the processor  
5 power) simple, coarse and rapid search in a first search step. In this case, the stock of data is searched as continuously as possible on the disk from the point of view of sector numbering. This saves arduous pick-up skips by the drive.

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Every search hit is then forwarded to a second search step. This means that the suitable data are transferred from the first search step to the second search step, i.e. from the first process to a second process  
15 executed in parallel. The first search step does not wait for the result from the second search step, i.e. it continues its coarse search immediately.

The second search step is responsible for more complex  
20 search tasks, such as comparisons, which may require more CPU computation power. This search process is then performed independently of the coarse search in a separate parallel second process (thread).

This division is of particular interest, by way of example, for hierarchy text-based databases, such as XML databases. A search query to such databases frequently comprises text, element names and attribute names. By way of example, the search query could be:  
30 search a music database for the track "Wonderful tonight" by Eric Clapton. The first search step then searches the stored stock of data in a fast text scan. In the specific example, the music database is searched for hits relating to the singer "Eric Clapton" and hits  
35 relating to the track "Wonderful tonight". This is a type of search which requires only limited computation power. The computation power required varies according

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to the level of error tolerance with which the text search is to be performed, and whether searching is meant to be case-insensitive, for example.

5 Ultimately, the available computation power is limited. It is utilized for a coarse, rapid and continuous scan of the stock of data. In this regard, it will be noted that although an optical disk requires a very long time to skip from one sector to another arbitrary sector on  
10 the disk (up to one second) in comparison with a hard disk, the continuous reading-in of consecutive disk sectors is only slightly slower than in the case of a hard disk. Consequently, this continuous scan of the stock of data is intended to be utilized to the  
15 greatest possible extent. A prerequisite for this is that the coarse first search step does not over task the processor. This is achieved by virtue of the CPU requiring only little time for the processing in the first search step, which means that the drive or pick-up can deliver each sector of the stock of data to the  
20 first step immediately. Otherwise, an arduous return skip by the pick-up would in fact be enforced.

The coarse, first search therefore delivers probable  
25 hits, but no definite hits. In a specific example, the first search step would provide all entries in the music database containing "Eric Clapton" and/or "Wonderful tonight" as hits. This means that other numbers by Eric Clapton and other interpreters of the  
30 song being sought are also recorded as an intermediate result. These probable hits are forwarded directly to a second independent search process. This second search process provides the refined search, which is used to ascertain that this is actually a hit. This search  
35 process therefore performs the search part which implements a more complex search in terms of computation, such as complex XPath expressions, as are

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frequently used for XML databases. In the specific example, the hits for "Eric Clapton" are searched for the head word "Wonderful tonight" and vice versa. As end result, it is thus possible to present database  
5 entries which contain both the interpreter being sought and the track being sought.

The advantage of this two-stage procedure is that a preselective search process, which requires as little  
10 computation power as possible, searches the stock of data in a continuous scan process having reduced skips. As a result, a scan of the stock of data takes place at maximum speed. The second search process, executed with lower priority than the first search process, uses the  
15 remaining computation power in order to locate the ultimate hits.

This process already provides execution-time advantages for fixed stocks of data on CD-ROM and DVD-ROM. It is  
20 even more effective when the stock of data is available in fragmented form on the optical disk. This is the case with dynamic stocks of data, in particular.

Example:

25 The first search step permits searching for XML element names, XML attribute names, XML element values (which is text) and XML attribute values (which is also text) and XML namespaces. In this example, logic combination  
30 between simultaneously sought search modules, such as logic AND functions and logic OR functions, are likewise possible on account of sufficient computation power. This means that the search depth in a first step is dependent on the available computation power. In  
35 other words, in this example individual hits can actually be logically combined in real time, i.e. at the same time as the data are being read in. This in

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turn means that the first search step is already of hierarchic design. The first search step then returns subtrees. These are elements, for example, which contain all demanded element names, attribute names and value texts.

In the second step, the search is refined, i.e. the more complex search requirements are implemented therein. These more complex search requirements are, by way of example, the order of elements, comparison operations and other logic dependencies which cannot be tested by the first search step.

The figure shows the parallel flow of the search. While the first search step, symbolized by a continuous bar over time t, searches the entire stock of data without interruption, the second search step receives only the hits from the first search step. These are then searched in detail. The second search steps are separate CPU processes which use the remaining available computation power. The first search step is thus not disturbed. Since the first search step is generally the more time-consuming process on account of the properties of optical disks, it represents the bottleneck. In this process arrangement, therefore, steps are taken to prevent the process from being held up by transferring time-consuming examinations out of this process. It is thus possible to search with prescribed computation power at correspondingly maximum speed.

The search speed may also be increased by virtue of the data which are to be searched being stored on the optical medium in ascending sector sequence as far as possible.

The inventive search is advantageous particularly when

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no index lists are used for the search. If index lists are available, however, then a search using the index is frequently more appropriate. If the index list means that it is necessary to skip to various locations in the stock of data, however, then skipping to and searching the skip points from the index list should advantageously be effected on the basis of sorting which ascends to sector numbers, in order to reduce the skip times on average.

Since index lists are suitable only for specific search queries, practically every database will be reliant on a full search for particular complex search queries, which means that the present invention may likewise be used for any database.

In summary, it may thus be stated that the greatest benefit of the present invention can be achieved in the case of appliances with optical drives, which have longer skip times and permit rapid reading of cohesive sectors. The large stocks of data on these optical media may then be searched at very high speed with limited computation power. Continuous reading in ascending sector numbers reads ECC (Error Correction Code) blocks entirely and scans all sectors which are relevant to the database. In the case of DVDs, the ECC blocks comprise 16 sectors of 2048 bits, and in the case of Blue-Ray disks they comprise 32 sectors of 2048 bits. These blocks need to be read in full in order to be able to inspect even just a single sector. To this end, Blue-Ray disks require approximately a whole disk revolution in the internal radius, for example. Hence, arbitrary skips over the entire disk should be the exception and can essentially be avoided by the present invention.

The inventive principle is naturally also suitable for



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stocks of data on hard disks. In that case, the advantage which can be expected is small, however, since the average skip times are several orders of magnitude shorter than in the case of optical disks. In  
5 addition, the sectors on a hard disk are not packed into ECC blocks.